## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jeffrey Scott Goldmeer, et al.

**Examiner:** 

Unassigned

Serial No:

Unassigned

Art Unit:

Unassigned

Filed:

Herewith

Docket:

16541

For:

WAVE ROTOR BASED POWER AND PROPULSION GENERATION FOR A

MARINE VESSEL

Dated:

November 3, 2003

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. United States Patent No. 6,584,764 B2, issued to Baker, dated July 1, 2003;
- 2. United States Patent Application Publication No. 2003/0079713 A1, to Nalim, dated May 1, 2003;
- 3. United States Patent Application Publication No. 2003/0029162 A1, to Baker, dated February 13, 2003;

# CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on November 3, 2003.

Dated: November 3, 2003

Paul J. Esatto, Jr.

- 4. United States Patent No. 6,460,342 B1, issued to Nalim, dated October 8, 2002;
- 5. United States Patent No. 6,449,939 B1, issued to Snyder, dated September 17, 2002;
- 6. United States Patent Application Publication No. 2002/0068250 A1, to Nalim, dated June 6, 2002;
- 7. United States Patent No. 6,351,934 B2, issued to Snyder, dated March 5, 2002;
- 8. United States Patent No. 6,526,936 B2, issued to Nalim, dated March 4, 2003;
- 9. United States Patent No. 5,702,273, issued to Cho, et al., dated December 30, 1997;
- 10. United States Patent No. 4,424,042, issued to Gongwer, dated January 3, 1984;
- 11. Greendyke, R.B., et al., "Dynamic Simulation of a Wave Rotor Topped Turboshaft Engine", NASA Technical Memorandum 107514 (1997), pp. 1-9;
- 12. Jones, S.M., et al., "Performance Benefits for Wave Rotor-Topped Gas Turbine Engines", American Society of Mechanical Engineers (1996), pp. 1-11;
- 13. Nalim, M.R., "Pulse Combustion and Wave Rotors for High-Speed Propulsion Engines", American Institute of Aeronautics and Astronautics (1998), pp. 1-8;
- 14. Welch, G.E., et al., "Wave Rotor-Enhanced Gas Turbine Engines", NASA Technical Memorandum 106998 (1995), pp. 1-13;
- 15. Welch, G.E., et al., "Wave-Rotor-Enhanced Gas Turbine Engine Demonstrator", NASA Technical Memorandum 1999-209459 (1999), pp. 1-10;
- 16. Wilson, J., et al., "Transmission and Incidence Losses for a Slotted Plate", American Institute of Aeronautics and Astronautics (1998), pp. 1-10;
- 17. Wilson, J., et al., "Jet Engine Performance Enhancement Through Use of a Wave-Rotor Topping Cycle", NASA Technical Memorandum 4486 (1993), pp. 1-10; and

18. Wilson, J., et al., "Wave Rotor Optimization for Gas Turbine Engine Topping Cycles", AIAA Journal of Propulsion and Power (1996), Vol. 12, No. 4, pp. 778-785.

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Ésatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PJE:AVS:jap

#### Docket Number (Optional) Application Number 16541 Unassigned INFORMATION DISCLOSURE CITATION Applicant(s) (Use several sheets if necessary) Jeffrey Scott Goldmeer, et al. **Group Art Unit** Filing Date Herewith Unassigned **U.S. PATENT DOCUMENTS** DOCUMENT NUMBER \*EXAMINER REP DATE NAME CLASS SUBCLASS FILING DATE IF INITIAL APPROPRIATE 6,584,764 B2 7/1/2003 Baker 2003/0079713 A1 5/1/2003 Nalim 2003/0029162 A1 2/13/2003 Baker 10/8/2002 6,460,342 B1 Nalim 9/17/2002 Snyder 6,449,939 B1 6/6/2002 Nalim 2002/0068250 A1 3/5/2002 Snyder 6,351,934 B2

### **FOREIGN PATENT DOCUMENTS**

Nalim

Cho, et al.

Gongwer

3/4/2003

12/30/1997

1/3/1984

6,526,936 B2

5,702,273

4,424,042

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO		
	OTHER DOCU	MENTS (Include	ding Author, Title, Date,	, Pertinent Page	es, Etc.)				
Greendyke, R.B., et al., "Dynamic Simulation of a Wave Rotor Topped Turboshaft Engine", N Technical Memorandum 107514 (1997), pp. 1-9									
Jones, S.M., et al., "Performance Benefits for Wave Rotor-Topped Gas Turbine Engines", America Society of Mechanical Engineers (1996), pp. 1-11									

Nalim, M.R., "Pulse Combustion and Wave Rotors for High-Speed Propulsion Engines", American Institute of Aeronautics and Astronautics (1998), pp. 1-8

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820 U.S. DEPARTMENT OF COMMERCE (also form PTO-1449)

P09A/REV04

Patent and Trademark Office\*

TRANSLATION

					Docket Number (Optional) 16541		Application Number Unassigned		
INFO		ION DISCLOSURE ( everal sheets if necess		Applicant(s)  Jeffrey Scott Goldmeer, et al.					
				Filing Date	41	Group Art Unit			
		——————————————————————————————————————	IIS PA	Herewi	tn	Una	ssigned		
0.24			0.3.17	TENT BOCOMENTS			I		
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
			FOREIGN 1	PATENT DOCUMENTS	S				
							TRANSLATION		
	REF	DOCUMENT NUMBER	DATE	COUNTRY	COUNTRY CLASS	SUBCLASS	YES	NO	
		:							
		OTHER DOCU	MENTS (Inclu	ling Author, Title, Date, F	Partinant Page	es Eta )			
	Ι						morandu	m	
		Welch, G.E., et al., "Wave Rotor-Enhanced Gas Turbine Engines", NASA Technical Memorandum 106998 (1995), pp. 1-13							
		Welch, G.E., et al., "Wave-Rotor-Enhanced Gas Turbine Engine Demonstrator", NASA Technical Memorandum 1999-209459 (1999), pp. 1-10							
		Wilson, J., et al., "Transmission and Incidence Losses for a Slotted Plate", American Institute of Aeronautics and Astronautics (1998), pp. 1-10							
		Wilson, J., et al., "Jet Engine Performance Enhancement Through Use of a Wave-Rotor Topping Cycle", NASA Technical Memorandum 4486 (1993), pp. 1-10							
		Wilson, J., et al., "Wave Rotor Optimization for Gas Turbine Engine Topping Cycles", AIAA Journal of Propulsion and Power (1996), Vol. 12, No. 4, pp. 778-785							
EXAMINER				DATE CONSIDE	DATE CONSIDERED				
EXAMINER citation if not	: Initial	if citation considered	, whether or not sidered. Include	t citation is in conforman e copy of this form with n	ce with MPE	P 609; Draw lin	e through ant.		

Form PTO-A820 U.S. DEPARTMENT OF COMMERCE (also form PTO-1449) P09A/REV04

Patent and Trademark Office\*